



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

MPB

Inventor(s): Hong M. Dang et al.

Confirmation No.: 9718

Application No.: 09/995,294

Examiner: Not Assigned

Filing Date: November 26, 2001

Group Art Unit: Not Assigned

Title: AN INTELLIGENT APPARATUS, SYSTEM AND METHOD  
FOR FINANCIAL DATA COMPUTATION, REPORT REMITTANCE  
AND FUNDS TRANSFER OVER AN INTERACTIVE COMMUNICATIONS  
NETWORK

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 12/14/01. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

☒ Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

☐ Statutory basic filing fee ☐ Utility ☐ Design

☐ Additional claim fees of \$

☒ Missing Parts Surcharge

☒ A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

- ☒ one month
- ☐ two months
- ☐ three months
- ☐ four months

Please charge to Deposit Account 50-0518 the sum of \$240.00. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 50-0518 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-0518 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 03/14/02

Typed Name: Grant E. Pollack

Signature: Grant E. Pollack

By Grant E. Pollack

Grant E. Pollack, Esq.

Attorney/Agent for Applicant(s)

Reg. No. 34,097

Date: 03/14/02

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,294	11/26/2001	Hong M. Dang	100111405

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

CONFIRMATION NO. 9718

## FORMALITIES LETTER



\*OC000000007198954\*

Date Mailed: 12/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

03/27/2002 BNGUYEN1 00000096 500518 09995294

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE